Docket No. PVI-5697CIPCON

6501

IN THE UNITED STATES PATENT A	ND TRADEMARK OFFICE
In reapplication of:	Group Art Unit: 3738
Application No.: 10/714,462	Examiner: Urmi Chattopadhyay
Filing Date: November 13, 2003	
For: METHOD AND DEVICE FOR TREATMENT) OF MITRAL INSUFFICIENCY)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure set forth under 37 CFR §§ 1.56, 1.97, and 1.98, Applicants enclose the attached form PTO/SB/08. Pursuant to 37 CFR § 1.98(d) copies of those references on the PTO/SB/08 forms are not being submitted because they were previously cited by or submitted to the U.S. Patent Office in prior application(s) S/N 09/775,677 from which the present application relies upon for an earlier filing date under 35 U.S.C. § 120. No fee is believed due; however, if a fee is to be charged please charge to our Deposit Account No. 50-1225 (PVI-5697CIPCON).

Dated: January 26, 2005

Respectfully submitted,

David L. Hauser, Reg. No. 42,643

Edwards Lifesciences LLC

Law Department One Edwards Way

Irvine, California 92614 Telephone: (949) 250-6878 Facsimile: (949) 250-6850

Customer No. 30452

CERTIFICATE OF MAILING

I hereby certify that on January 26, 2005 the above-identified document (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a) X with sufficient postage as first class mail

37 C.F.R. § 1.10

as "Express Mail Post Office to Addressee"

Mailing Label No.

FOIPE		
FORM PTO/SB/08A/B (10-01)	Attorney Docket Number	PVI-5697CIPCON
Substitute for PTO-1449A/B	Application Number	10/714,462
INFORMATION DISCLOSERE	Filing Date	November 13, 2003
STATEMENT BY APPLICANT	Applicant(s)	Jan O. Solem, et al.
(use as many sheets as necessary)	Group Art Unit	3738
(use as many shoots to more any)	Examiner Name	Urmi Chattopadhyay

		U.S. P.	ATENT DOCUMENTS	
EXAMINER	Cite	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
INITIALS	No.	4.954,126	09-04-1990	Wallsten
		5,061,275	10-29-1991	Wallsten et al.
			07-09-1996	St. Germain et al.
		5,534,007	11-05-1996	Fraser et al.
		5,571,135	02-03-1998	Jayaraman
		5,713,949	02-22-2000	Suh et al.
	•	6,027,525		
		6,350,277 B1	02-26-2002	Kocur

•		FOREIGN P.	ATENT DOCUMENTS		T ⁶
EXAMINER INITIALS	Cite	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	(,)

		OTHER DOCUMENTS
EXAMINER Cite INITIALS No. 1		Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. LAAKSOVIRTA et al., Expansion and bioabsorption of the self-reinforced lactic and glycolic acid
	•	The state of the s
		LIU et al., Sutural expansion osteogenesis for management of the bony-tissue defect in the property of the poly-tissue defect in the property of the poly-tissue defect in the property of the property of the poly-tissue defect in the property of the poly-tissue defect in the property of
		YONEYAMA et al., Super-elastic property of Ti-Ni alloy for use in dentistry, I universely 2000; 10(2):97-103, one sheet
		KOTIAN, Shape memory effect and super elasticity it's dental applications, I downed, Exception of the Paragraphy of the
		KUO et al., The use of nickel-titanium alloy in orthopedic surgery in China, PubMed, Excerpt from Orthopedics 1989 Jan; 12(1):111-6, one sheet

EXAMINER	DATE CONSIDERED	
SIGNATURE SIGNATURE whether or not citation is in conformance with		ne through citation if not in conformance and not

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

FORM PTO/SB/08A/B (10-0)) Substitute for PTO-1449A/B	Attorney Docket Number	PVI-5697CIPCON
124 6	Application Number	10/714,462
INFORMATION DISCLOSUSE	Filing Date	November 13, 2003
STATEMENT BY APPENCANT	Applicant(s)	Jan O. Solem, et al.
(use as many sheets as necessary)	Group Art Unit	3738
(use as many shoots as see 177	Examiner Name	Urmi Chattopadhyay

		U.S. P	ATENT DOCUMENTS	
EXAMINER	Cite	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
INITIALS	No. ¹	US 2001/0044568 A1	11-22-2001	Langberg, et al.
		US 2002/0016628 A1	02-07-2002	Langberg et al.
		US 2002/0022880 A1	02-21-2002	Melvin
		US 2002/0087173 A1	07-04-2002	Alferness et al.
		US 2002/0103532 A1	08-01-2002	Langberg et al.
		US 2002/0103533 A1	08-01-2002	Langberg et al.
		US 2002/0111533 A1	08-15-2002	Melvin
		US 2002/0151961 A1	10-17-2002	Lashinski et al.
		4.164,046	08-14-1979	Cooley .
·		5,006,106	04-09-1991	Angelchik
		5,476,471	12-19-1995	Shifrin et al.
		5,584,879	12-17-1996	Reimold et al.
		5,674,280	10-07-1997	Davidson et al.
		5.741,274	04-21-1998	Lenker et al.
		5,876,419	03-02-1999	Carpenter, et al.
		5,919,233	07-06-1999	Knopf et al.
		6,183,411 B1	02-06-2001	Mortier et al.
			04-03-2001	Solem et al.
		6,210,432 B1 6,221,103 B1	04-24-2001	Melvin
			06-19-2001	Solem
		6,248,119 B1	06-26-2001	Cox
		6,250,308 B1	07-24-2001	Mortier et al.
		6,264,602 B1	07-24-2001	Gabbay
		6,264,691 B1	02-05-2002	Lafontaine
		6,343,605 B1	02-03-2002	

l				
			DATE	
1	EXAMINER		CONSIDERED	
	SIGNATURE	in the foregree considered, whether or not citation is in conformance with	MPEP 609; Draw li	ne through citation if not in conformance and not

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not EXAMINER: Initial it reference considered, whether or not citation is in conformance with MPEP 009; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

FORM PTO/SB/08A/B (10-01) Attorney Docket Number PVI-5697CIPCON Substitute for PTO-1449A/B Application Number 10/714,462 INFORMATION DISCLOSURE Filing Date November 13, 2003 STATEMENT BY APPLICANT Applicant(s) Jan O. Solem, et al. Group Art Unit (use as many sheets as necessary) 3738 Examiner Name Urmi Chattopadhyay

		U.S. P	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		6,402,679 B1	06-11-2002	Mortier et al.
		6,402,680 B2	06-11-2002	Mortier et al.
		6,402,781 B1	06-11-2002	Langberg et al.
		6,409,760 B1	06-25-2002	Melvin

	FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T° (↓)	
		WO 95/16407	06-22-1995	Reimold et al.		
		WO 98/18411	05-07-1998	Mahmoodi		
		WO 99/44534	09-10-1999	Melvin		
		WO 99/53977	10-28-1999	Talpade		
		WO 00/18320	04-06-2000	Melvin		
		WO 00/44313	08-03-2000	Lambrecht et al.		
		WO 01/50985	07-19-2001	Liddicoat et al.		
		WO 02/00099	01-03-2002	Liddicoat et al.		
		WO 02/01999	01-10-2002	Liddicoat et al.		
		WO 02/05888	01-24-2002	Streeter et al.		
	··············	WO 02/34118	05-02-2002	Streeter et al.		
		WO 02/053206	07-11-2002	Alferness		
		WO 02/060352	08-08-2002	Lashinski et al.		
		WO 02/062270	08-15-2002	Solem et al.		
		WO 02/062408	08-15-2002	Taylor et al.		

CKS PAS554554.1-*-03/10/04 1:33 PM

Part of the latest terminal te		
EXAMINER	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kind.s Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁴Applicant is to place a check mark here if English Language Translation is attached.

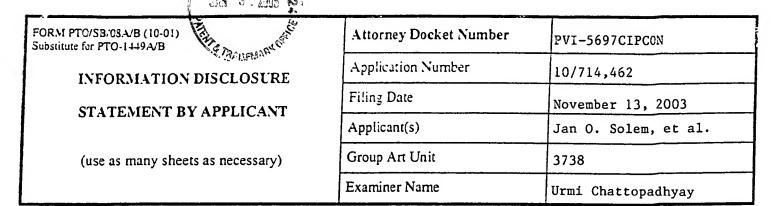
FORM PTC/SB/03A/B (100) Substitute for PTO-1449A/B	Attorney Docket Number	PVI-5697CIPCON
INFORMATION DISCLOSURE	Application Number	10/714,462
STATEMENT BY APPLICANT	Filing Date	November 13, 2003
STATEMENT DI AFFLICANT	Applicant(s)	Jan O. Solem, et al.
(use as many sheets as necessary)	Group Art Unit	3738
	Examiner Name	Urmi Chattopadhyay

		U.S. F	PATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 2002/0124857 A1	09-12-2002	Schroeppel
		4,655,771	04-07-1987	Wallsten
		5,064,435	11-12-1991	Porter
		5,163,955	11-17-1992	Love et al.
	-	5,383,892	01-24-1995	Cardon et al.
		5,824,071	10-20-1998	Nelson et al.
		5,911,732	06-15-1999	Hojeibane
	····	5,980,552	11-09-1999	Pinchasik et al.
		6,203,556	03-20-2001	Evans et al.

		FOREIGN	PATENT DOCUMEN	VTS	<u></u>
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T° (↓)
		DE 196 05 042 A1	01-15-1998	Gleich Anmelder	
		DE 196 11 755 A1	02-05-1998	Gleich Anmelder	
		EP 0 727 239 A2	08-21-1996	Ockuly et al.	
		WO 00/41649	07-20-2000	Kocur	1
		WO 01/00111 A1	01-04-2001	Solem et al.	1
		WO 01/54618 A1	08-02-2001	Langberg et al.	
		WO 01/85061 A2	11-15-2001	Shapland et al.	
		WO 01/89426 A1	11-29-2001	Andrieu et al.	
		WO 02/076284 A2	10-03-2002	Cohn et al.	
		WO 02/078576 A2	10-10-2002	Liddicoat et al.	
		WO 02/096275 A2	12-05-2002	Cohn et al.	

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English Language Translation is attached.



FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ³ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T° (∨)
		WO 02/062263 A2	08-15-2002	Cohn et al.	
		WO 96/40356	12-19-1996	Whayne et al.	

		OTHER DOCUMENTS
EXAMINER Cite INITIALS · No.1		Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		European Patent Office Office action dated December 22, 2003 for Application No. 00 946 661.6 - 2310
		Written Opinion dated November 8, 2002 for International application No. PCT/EP01/10371
		International Search Report dated April 23, 2002 for International application No. PCT/EP 01/10371
		International Search Report dated March 15, 2000
		International Search Report dated October 9, 2002 for National application No. 0200073-5
		International Search Report dated June 5, 2003 for International application No. PCT/EP 02/14655

CKS PAS554558.1-*-03/11/04 2:37 PM

EXAMINER	DATE	
SIGNATURE	CONSIDERED	<u>i</u>
		<u> </u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is a ttached.

1·c A		
FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	PVI-5697CIPCON
INFORMATION DISCLOSURE	Application Number	10/714,462
STATEMENT BY APPLICANT	Filing Date	November 13, 2003
STATEMENT DI ATTECAN	Applicant(s)	Jan O. Solem, et al.
(use as many sheets as necessary)	Group Art Unit	3738
	Examiner Name	Urmi Chattopadhyay

	OTHER DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		CIVJAN et al., Potential applications of certain nickel-titanium (nitinol) alloys, PubMed, Excerpt from J Dent Res 1975 Jan-Feb;54(1):89-96, one sheet			
		BRENNAN, SUITE OF SHAPE-MEMORY POLYMERS, http:///pubs.acs.org/cen/topstory/7906notw1.html, News of the Week Materials, February 5, 2001, Volume 79, Number 6, Cenear 79 6 pp.5, ISSN 0009-2347, Mairin Brennan, three sheets			
		STIKEMAN, Total Recall, Technology Review - Innovation: Total Recall, An MIT Enterprise Technology Review, June 2001, two sheets			

CKS PAS554577.1-*-03/11/04 4:57 PM

EXAMINER SIGNATURE	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. 'Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English Language Translation is a ttached.